

02/29/00  
1c780 U.S. PTO

03-01-00

PTO/SB/05 (4/98)

Please type a plus sign (+) inside the box



Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |   |   |
|---|---|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b> | <b>Attorney Docket</b>                          | 03188- P0001A                               |
|   | <b>First Inventor or Application Identifier</b> | Geoffrey H. Gill, et al.                    |
|   | <b>Title</b>                                    | System For Anonymously Purchasing Goods And |
|   | <b>Express Mail Label No.</b>                   | EM387358327US                               |

|   |   |
|---|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents  | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)  |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 21]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claims(s)</li><li>- Abstract of the Disclosure</li></ul> | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>  |
| 3. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets 10]   | <b>ACCOMPANYING APPLICATION PARTS</b><br>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(where there is an assignee)<br>9. <input type="checkbox"/> English Translation Document (if applicable)<br>10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>11. <input type="checkbox"/> Preliminary Amendment<br>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>13. <input checked="" type="checkbox"/> * Small Entity <input type="checkbox"/> Statement filed in prior application<br>Statement(s) Status still proper and desired<br>(PTO/SB/09-12)<br>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>15. <input type="checkbox"/> Other: |
| 4. <input type="checkbox"/> Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> New executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 16 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>Inventor(s) named in the prior application,<br/>see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>  |   |

**NOTE FOR ITEMS 1 & 4D:** IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information  
☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application No.:  
Prior application information: Examiner Group/Art Unit:  
For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number of Bar Code Label (Insert Customer No or Attach bar code label here) or ☒ Correspondence address below

|         |   |           |              |          |              |
|---------|---|-----------|--------------|----------|--------------|
| NAME    | Gene S. Winter  |           |              |          |              |
| ADDRESS | St. Onge Steward Johnston & Reens LLC<br>986 Bedford Street |           |              |          |              |
| CITY    | Stamford  | STATE     | CT           | ZIP CODE | 06905-5619   |
| COUNTRY | United States   | TELEPHONE | 203 324-6155 | FAX      | 203 327-1096 |

|                   |                |                                   |         |
|-------------------|----------------|-----------------------------------|---------|
| Name (Print/Type) | Gene S. Winter | Registration No. (Attorney/Agent) | 28,352  |
| Signature         |                | Date                              | 2/28/00 |

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

**Complete if Known**

|                        |                          |
|------------------------|--------------------------|
| Application No.        | - Pending                |
| Filing Date            | February 29, 2000        |
| First Named Inventor   | Geoffrey H. Gill, et al. |
| Group Art Unit         |                          |
| Examiner Name          |                          |
| Attorney Docket Number | 03188-P0001A GSW         |

**TOTAL AMOUNT OF PAYMENT**

(\$) 385.00

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number  
Deposit Account Name

19-4516

St. Onge Steward Johnston &amp; Reens LLC

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐
- Charge the issue Fee Set in 37 CFR 1.18 of the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:**

☒ Check
 ☐ Money
 ☐ Other Order
**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid           |
|---------------------|-----------------|----------------|-----------------|------------------------|--------------------|
| 101                 | 690             | 201            | 345             | Utility filing fee     | 345.00             |
| 106                 | 310             | 206            | 155             | Design filing fee      |                    |
| 107                 | 480             | 207            | 240             | Plant filing fee       |                    |
| 108                 | 760             | 208            | 380             | Reissue filing fee     |                    |
| 114                 | 150             | 214            | 75              | Provisional filing fee |                    |
| <b>SUBTOTAL (1)</b> |                 |                |                 |                        | <b>(\$) 345.00</b> |

**2. EXTRA CLAIMS FEES**

| Total Claims             | Extra Claims | Fee from Below | Fee Paid |
|--------------------------|--------------|----------------|----------|
| 17                       | -20**=       | X              | 0        |
| 2                        | -3 =         | X              | 0        |
| Multiple Dependent (No.) |              |                |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code               | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|------------------------------|-----------------|----------------|-----------------|---|
| 103                          | 18              | 203            | 9               | Claims in excess of 20                                  |
| 102                          | 78              | 202            | 39              | Independent claims in excess of 3                       |
| 104                          | 260             | 204            | 130             | Multiple dependent claims                               |
| 108                          | 78              | 208            | 39              | Reissue independent claims over original patent         |
| 110                          | 18              | 210            | 9               | Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> (\$) -0- |                 |                |                 |   |

**3. ADDITIONAL FEES**

| Large Fee Code                 | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|--------------------------------|-----------------|----------------|-----------------|--|----------|
| 105                            | 130             | 205            | 65              | Surcharge - late filing for or oath  |          |
| 127                            | 50              | 227            | 25              | Surcharge - late provisional filing or cover Sheet                         |          |
| 139                            | 130             | 139            | 130             | Non-English specification  |          |
| 147                            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112                            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113                            | 1,840           | 113            | 1,840           | Requesting publication of SIR after Examiner Action                        |          |
| 115                            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116                            | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117                            | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118                            | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128                            | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119                            | 300             | 219            | 150             | Notice of Appeal   |          |
| 120                            | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121                            | 260             | 221            | 130             | Request for oral hearing   |          |
| 138                            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140                            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141                            | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142                            | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143                            | 430             | 243            | 215             | Design issue fee   |          |
| 144                            | 580             | 244            | 290             | Plant issue fee  |          |
| 122                            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123                            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126                            | 240             | 126            | 240             | Submission of Informational Disclosure Stmt                                |          |
| 581                            | 40              | 581            | 40              | Recording each patent assignment per Property (times number of properties) | 40.00    |
| 146                            | 790             | 246            | 395             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                            | 279             | 249            | 395             | For each additional invention to be Examined (37 CFR 1.129(b))             |          |
| Other fee (specify) _____      |                 |                |                 |  |          |
| Other fee (specify) _____      |                 |                |                 |  |          |
| <b>SUBTOTAL (3)</b> (\$) 40.00 |                 |                |                 |  |          |

\* Reduced by Basic Filing Fee paid

**SUBMITTED BY** St. Onge Steward Johnston & Reens LLC**Complete (if applicable)**

Typed or Printed Name

Gene S. Winter

Reg. Number

28,352

Signature

Date

2/28/00

Deposit Account User ID

19-4516

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PATENT  
03188-P0001A GSW/JBW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |  |
|-----------------------|--|
| Applicants            | Geoffrey H. Gill, et al.   |
| Serial No. - Pending  | Date File: February <u>29</u> , 2000                                   |
| Title of Application: | System For Anonymously Purchasing Goods And Services Over The Internet |

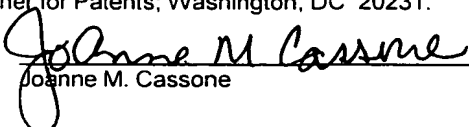
Assistant Commissioner for Patents  
Washington, DC 20231

Cover Sheet For Ten Sheets Of Drawings

Attorney for Applicants  
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203 324-6155

Express Mail Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *Express Mail Post Office to Addressee* Mailing Label Number EM387358327US in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents; Washington, DC 20231.

February 29, 2000

  
Joanne M. Cassone